

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Prior Application: T. KATO et al  
Serial No. 10/219,268  
Filed: August 16, 2002

Group Art Unit: 2874  
Examiner: S. Song  
For: OPTICAL MODULE, METHOD FOR  
MANUFACTURING OPTICAL MODULE AND  
OPTICAL COMMUNICATION APPARATUS

INFORMATION DISCLOSURE STATEMENT

Commissioner of Patents  
Alexandria, VA 22314

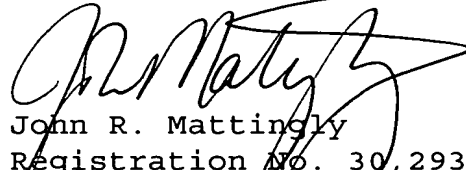
Sir:

In accordance with the duty of disclosure, Applicants inform the Examiner of the documents cited during prosecution of the parent application, USSN 10/219,268.

The above-referenced patent application is a continuation application of U.S. Serial No. 10/219,268, filed August 16, 2002, which is a continuation application of U.S. Serial No. 09/788,341, filed on February 21, 2001, now U.S. Patent No. 6,457,877, which is a continuation application of U.S. Serial No. 09/480,478, filed on January 11, 2000, now U.S. Patent No. 6,220,764, which is a continuation application of U.S. Serial No. 09/402,585, filed October 7, 1999, now U.S. Patent No. 6,282,352, from which priority is claimed under 35 U.S.C. § 120.

Applicants request the Examiner to initial and return a copy of the attached PTO-1449 form to indicate that the references have been considered.

Respectfully submitted,



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Attorney for Applicants

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(703) 684-1120  
Date: February 27, 2004

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FORM PTO-1449 (REV. 7-80)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. NIT-156-05	SERIAL NO.		
<b>LIST OF DOCUMENTS CITED BY APPLICANT</b> <i>(Use several sheets if necessary)</i>				APPLICANT T. KATO et al			
				FILING DATE February 27, 2004		GROUP 2874	
<b>U.S. PATENT DOCUMENTS</b>							
* EXAMINER INITIAL		DOCUMENT	DATE	NAME	CLASS	SUBCLASS	FILING DATE <i>(If Appropriate)</i>
	AA	5,737,467	04/1998	Kato et al			
	AB	5,684,903	11/1997	Kyomasu et al			
	AC	5,013,495	05/1991	Noba et al			
	AD	4,756,592	07/1988	Sasayama et al			
	AE	4,410,469	10/1983	Katagiri et al			
	AF	4,466,009	08/1984	Konishi et al			
	AG	5,727,105	03/1998	Nagata et al			
	AH	6,170,996	01/2001	Miura et al			
	AI	6,282,350	08/2001	Takahashi et al			
	AJ	6,282,352	08/2001	Kato et al			
	AK	6,220,764	04/2001	Kato et al			
<b>FOREIGN PATENT DOCUMENTS</b>							
		DOCUMENT	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	AL	8-15576	01/19/96	Japan			<input type="checkbox"/> <input type="checkbox"/>
	AM	8-248274	09/27/96	Japan			<input type="checkbox"/> <input type="checkbox"/>
	AN	2-271308	11/06/90	Japan			<input type="checkbox"/> <input type="checkbox"/>
	AO	7-35954	02/07/95	Japan			<input type="checkbox"/> <input type="checkbox"/>
	AP	3-17605	01/25/91	Japan			<input type="checkbox"/> <input type="checkbox"/>
<b>OTHER DOCUMENTS</b> <i>(Including Author, Title, Date, Pertinent Pages, etc.)</i>							
	AR	Robertson, Michael J., et al, "The Expanded Mode Laser-A Route to Low Cost Optoelectronics," IEICE Trans. Electron, Vol. E80-C, No. 1, January 1997, pp. 17-23.					
	AS	Collins, J.V., et al, "Laser Diode Chip and Packaging Technology," Proceedings, SPIE, Vol. 2610, pp. 108-116.					
	AT	Hall, I.P., "Novel Techniques for Low-Cost, High Performance Optoelectronic Component Assembly," 9th European Hybrid Microelectronics Conference, Nice, France, June 1993, pp. 44-47.					
EXAMINER				DATE CONSIDERED			
<small>* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</small>							

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*	EXAMINER INITIAL	DOCUMENT	DATE	NAME	CLASS	SUBCLASS	FILING DATE <i>(If Appropriate)</i>
	AA	6,457,877	10-2002	Kato et al			
	AB	6,632,027	10-2003	Yoshida et al			
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
<b>FOREIGN PATENT DOCUMENTS</b>							
		DOCUMENT	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES    NO
	AL	2-271308	11/06/90	Japan			<input type="checkbox"/> <input type="checkbox"/>
	AM	1-304405	12/08/89	Japan			<input type="checkbox"/> <input type="checkbox"/>
	AN	7-321407	12/08/95	Japan			<input type="checkbox"/> <input type="checkbox"/>
	AO						<input type="checkbox"/> <input type="checkbox"/>
	AP						<input type="checkbox"/> <input type="checkbox"/>
<b>OTHER DOCUMENTS</b> <i>(Including Author, Title, Date, Pertinent Pages, etc.)</i>							
	AR	Hall, I.P., "Non-hermetic Encapsulation and Assembly Techniques for Optoelectronic Applications," 10th European Microelectronics Conference, May 14-17, 1995, Copenhagen, Denmark.					
	AS	Proceedings of the Conference of the Institute of Electronics, Information and Communication Engineers, 1996, Vol. 1, SC-3-14, pp. 478-479.					
	AT	Proceedings of the Conference of the Institute of Electronics, Information and Communication Engineers, 1996, Vol. 1, C-216, page 216.					
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	AJ					
	AK					

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DOCUMENT	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
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AM					<input type="checkbox"/>	<input type="checkbox"/>
AN					<input type="checkbox"/>	<input type="checkbox"/>
AO					<input type="checkbox"/>	<input type="checkbox"/>
AP					<input type="checkbox"/>	<input type="checkbox"/>

**OTHER DOCUMENTS** *(Including Author, Title, Date, Pertinent Pages, etc.)*

AR		Proceedings of the Conference of the Institute of Electronics, Information and Communication Engineers, 1996, Vol. 1, C-207, page 207.
AS		
AT		

EXAMINER	DATE CONSIDERED
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